Vec-11/4/19



If you are using Google Chrome and would like to digitally fill out the form, please download and open in your desktop

APPLICATION FOR BENEFITS / IDA

INSTRUCTIONS

- The Agency/IDA will not consider any application unless, in the judgment of the Agency/IDA, said application contains sufficient information upon which to base a decision whether to approve or tentatively approve an action.
- 2. Fill in all blanks, using "none" or "not applicable" or "N/A" where the question is not appropriate to the project which is the subject of this application (the Project).
- 3. If an estimate is given as the answer to a question, put "est." after the figure or answer, which is estimated.
- 4. If more space is needed to answer any specific question, attach a separate sheet.
- 5. When completed, return one (1) hard copy of this application and one (1) electronic copy to the Agency/IDA at the address indicated on the application.
- 6. The Agency/IDA will not give final approval to the application until the it receives a completed environmental assessment form concerning the Project which is the subject of this application.
- 7. Please note that Article 6 of the Public Officers Law declares that all records in the possession of the Agency/IDA (with certain limited exceptions) are open to public inspection and copying. If the applicant feels that there are certain elements of the Project which are in the nature of trade secrets of information, the nature of which is such that if disclosed to the public or otherwise widely disseminated would cause substantial injury to the applicant's competitive position, the applicant may identify such elements in writing and request such elements be kept confidential in ac-cordance with Article 6 of the Public Officers Law.
- 8. The Agency/IDA has established a non-refundable application fee of One Thousand (\$1,000) Dollars to cover the anticipated costs of processing this application. A check or money order payable to the Agency/IDA must accompany each application. THIS APPLICATION WILL NOT BE ACCEPTED BY THE AGENCY/IDA UNLESS ACCOMPANIED BY THE APPLICATION FEE.
- 9. The Agency/IDA has established a project fee for each project in which the Agency/IDA participates. THIS PROJECT FEE of 1% of the total Project cost IS REQUIRED TO BE PAID BY THE APPLICANT AT OR PRIOR TO THE GRANTING OF ANY FINANCIAL ASSISTANCE BY THE AGENCY/IDA. The applicant will also be expected to pay to the Agency/IDA all actual costs incurred in connection with the application including all costs incurred by general counsel and bond counsel.
- 10. The Agency/IDA will charge annually an administrative fee of \$1,500 to cover ongoing compliance and oversight, the fee shall be payable January 1 of each year until all financing documents shall terminate and be discharged and satisfied.
- 11. Chapter 59 of the Laws of 2013 (Part J), effective March 28, 2013 (the "2013 Budget Law"), established new record keeping, reporting, and recapture requirements for industrial development agencies that receive sales tax exemptions. The new law requires the following: 1) to keep records of the amount of sales tax benefits provided to each Project and make those records available to the State upon request; 2) that within 30 days after providing financial assistance to a Project, the Agency/IDA must report the amount of sales tax benefits intended to be provided to a Project; and 3) a requirement that the Agency/IDA post on the internet and make available without charge copies of its resolutions and Project agreements.
- 12. The 2013 Budget Law also requires that the Agency/IDA recapture State sales tax benefits where: 1) the Project is not entitled to receive those benefits; 2) the exemptions exceed the amount authorized or claimed for unauthorized property or services; or 3) the Project operator failed to use property or services in a manner required by its agreement with the Agency/IDA.
- 13. The Applicant requesting a sales tax exemption from the Agency/IDA must include in the application a realistic estimate of the value of the savings anticipated to be received by the applicant. EACH APPLICANT IS HEREBY ADVISED TO PROVIDE REALISTIC SALES TAX ESTIMATES IN THE APPLICATION, as the 2013 Budget Law and the regulations expected to be enacted thereunder are expected to require that the Agency/IDA recapture any benefit that exceeds the amount listed in the application.
- 14. Project Applicants as a condition to receiving Financial Assistance (including a sales tax exemption, mortgage tax exemption, real property tax abatement, and/or bond proceeds) from the Agency/IDA will be required to utilize qualified local labor and/or contractors as defined in the Appendix A of the application, for all projects involving the construction, expansion, equipping, demolition and or/remediation of new, existing, expanded or renovated facilities (collectively, the "Project Site").

APPLICATION FOR FINANCIAL ASSISTANCE

APPLICANT
NAME: Sam A. Lupo & Sons, Inc, SSE3, LLC
APPLICANT'S STREET ADDRESS: 1221 Campville Rd
CITY: Endicott STATE: NY ZIP: 13760 PHONE: 607-748-1141
NAME OF PERSON(S) AUTHORIZED TO SPEAK FOR APPLICANT WITH RESPECT TO THIS APPLICATION:
Sam Lupo PHONE: 604-748-1141
President sam@spiedies.com
APPLICANT'S COUNSEL
NAME: Brady Begeal
FIRM: Coughlin & Gerhart, LLP EMAIL: bbegeal@cglawoffices.com
ADDRESS: 99 Corporate Dr
Binghamton STATE: NY ZIP: 13902 PHONE: 607-723-9511
APPLICANT'S ACCOUNTANT
NAME: John Burtis
FIRM: Vieira & Associates, PC EMAIL: jburtis@vapc.us
ADDRESS: 111 Grant Ave., Suite 106
CITY: Endicott STATE: NY ZIP: 13760 PHONE: 607-723-1272 ext 106

PLEASE OUTLINE ON A SEPARATE SHEET OF PAPER ANY OTHER PROFESSIONALS INVOLVED IN THE PROJECT (I.E., DESIGN PROFESSIONAL, GENERAL CONTRACTOR).

Peter A. Rotella Corporation

Todd Murcko

314 Chaumont Dr

Endwell, NY 13760

Rotellacorp@aol.com

Root 2 Architecture

George Haus

1432 Elmira Street

Endicott, NY 13760

GWHaus@hotmail.com

PRN	JECT	SIIN	М٨	RY

Industrial Housing Multi-Tenant Back Office Acquisition of Existing Facility Equipment Purchase Commercial Retail	Mixed Use Civic Facility (not for profit) Facility for Aging Other
B: EMPLOYMENT IMPACT (BROOME COUNTY): EXISTING JOBS: 42 NEW JOBS WITHIN THRE	E YEARS: 10
C: PROJECT COST: \$ 2,168,500 D: TYPE OF FINANCING: TAX-EXEMPT	TAXABLE STRAIGHT LEASE
E: AMOUNT OF BONDS REQUESTED: \$ N/A	
F: AMOUNT OF NEW MORTGAGE(S) REQUIRED FOR PROJECT: \$ N/A	
G: PROJECT-RELATED COSTS SUBJECT TO SALES TAX: \$ 820,000	
H: ESTIMATED VALUE OF TAX EXEMPTIONS:	
NYS SALES AND COMPENSATING USE TAX \$ 65,600 MORTGAGE RECORDIN	IG TAXES \$ N/A
REAL PROPERTY TAX EXEMPTIONS \$ [64,024.04] REQUESTED TERM OF	PILOT: 15 years
OTHER (PLEASE SPECIFY) N/A	\$ N/A
I: CURRENT PROPERTY TAX ASSESSMENT \$ 15,330 CURRENT PROPERTY TAXES	15,267
APPLICANT INFORMATION EMPLOYER'S FEDERAL ID NO. 16-1096540/83-2508406 NAICS COD	E 311100
	E 311100
EMPLOYER'S FEDERAL ID NO. 16-1096540/83-2508406 NAICS COD	E 311100 WHAT STATE NY
EMPLOYER'S FEDERAL ID NO. 16-1096540/83-2508406 1. INDICATE TYPE OF BUSINESS ORGANIZATION OF APPLICANT: A CORPORATION INCORPORATED IN WHAT COUNTRY USA	,
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EMPLOYER'S FEDERAL ID NO. 16-1096540/83-2508406 1. INDICATE TYPE OF BUSINESS ORGANIZATION OF APPLICANT: A. CORPORATION INCORPORATED IN WHAT COUNTRY USA DATE INCORPORATED 1978 AUTHORIZED TO DO BUSINESS IN NEW YORK: YES NO	WHAT STATE NY
EMPLOYER'S FEDERAL ID NO. 16-1096540/83-2508406 NAICS COD 1. INDICATE TYPE OF BUSINESS ORGANIZATION OF APPLICANT: A CORPORATION INCORPORATED IN WHAT COUNTRY USA DATE INCORPORATED 1978 TYPE OF CORPORATION S AUTHORIZED TO DO BUSINESS IN NEW YORK: YES NO B. PARTNERSHIP TYPE OF PARTNERSHIP # OF GENERAL PARTNERSHIP	WHAT STATE NY
EMPLOYER'S FEDERAL ID NO. 16-1096540/83-2508406 NAICS COD 1. INDICATE TYPE OF BUSINESS ORGANIZATION OF APPLICANT: A CORPORATION INCORPORATED IN WHAT COUNTRY USA DATE INCORPORATED 1978 TYPE OF CORPORATION S AUTHORIZED TO DO BUSINESS IN NEW YORK: YES NO B. PARTNERSHIP TYPE OF PARTNERSHIP # OF GENERAL PART C. SOLE PROPRIETORSHIP	WHAT STATE NY SUB S RTINERS # OF LIMITED PARTNERS
EMPLOYER'S FEDERAL ID NO. 16-1096540/83-2508406 1. INDICATE TYPE OF BUSINESS ORGANIZATION OF APPLICANT: A CORPORATION INCORPORATED IN WHAT COUNTRY USA DATE INCORPORATED 1978 AUTHORIZED TO DO BUSINESS IN NEW YORK: B. PARTNERSHIP TYPE OF PARTNERSHIP # OF GENERAL PARTNERSHIP DATE CREATED 11/13/2018	WHAT STATE NY SUB S RTINERS # OF LIMITED PARTNERS

BMEJ, LLC – Properties at: 6 West State Street, Binghamton, NY 13901

1221 Campville Road, Endicott, NY 13760

1219 Campville Road, Endicott, NY 13760

Spiedie 3, LLC - Property at 1690 US Route 11, Kirkwood, NY 13795

Lupos Bottling, LLC – Bottling Business

Both Sam and Steve Lupo have controlling interest

MANAGEMENT OF APPLICANT

List all owners, directors and partners

NAME AND HOME ADDRESS	OFFICE HELD	OTHER PRINCIPAL BUSINESS
Sam Lupo 1309 Foxboro Lane Endicott, Ny 13760	President/ Partner	
Steve Lupo 56 Coventry Lane Endicott, Ny 13760	Vice President/ Partner	
Eliott Lupo 326 Robble Ave Endicott, NY 13760	Partner	
Bria Losurdo 108 Valentine Dr North Syracuse, Ny 13212		billing with insurance company

WITHIN THE PAST FIVE YEARS HAS THE APPLICAN ANY CONTRACTOR AFFILIATED WITH THE PROPOS			R ENTITY, OWNER, DIRE	CTOR, OFFICER	R, PARTI	NER (
 an indictment, judgment, conviction, or a grant of immunity, including pending actions, for any business-related conduct constituting a crime? 						NO
2. a government suspension or debarment, rejection of any bid or disapproval of any proposed contract, including pending actions, or for lack of responsibility?						NO
3. any final governmental determination o or labor law regulation?	f a violation	of any public works law or reg	ulation,	YES		NO
4. a consent order with the NYS Dept. of	Environmen	tal Conservation?		YES		NO
an unsatisfied judgment, injunction or li federal, state or local government agency taxes owed and fines and penalties asses	including, b	ousiness-related conduct obtain out not limited to, judgments ba	ned by any sed on	YES		NO
Has any person listed above or any cor been in receivership or been adjudicated in	ncern with win a bankrup	hom such person has been contcy?	nnected ever	YES		NO
IF THE ANSWER TO ANY QUESTION 1 THROUGH 6 A	BOVE IS YES,	PLEASE FURNISH DETAILS ON A SEPA	ARATE ATTACHMENT.			
IS THE APPLICANT PUBLICLY HELD? YES	■ NO	LIST EXCHANGES WHERE STOCK IS TR HAVING A 5% OR MORE INTEREST IN TH		KHOLDERS		
NAME		ADDRESS	PERCENTA	GE OF HOLDING		

APPLICANT'S	PRINCIPAL	BANK(S)	OF.	ACCOUNT
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Tioga State Bank

Working with Visions Federal Credit Union for project

PROJECT DATA

- 1. Attach a complete narrative description of Project including location, proposed product lines and market projections, square feet by usage, type of construction, machinery for products, machinery for building, office and parking
- 2. Attach a photo of the site or existing facility to be improved.
- 3. Attach copies of preliminary plans or sketches of proposed construction or floor plan of existing facility.

3. Attach copies of preliminary plans of sketches of p	proposed construction or moor plan or existing	, radiity.	
4. Are utilities on site or must they be brought in? If s	so, which ones?		
Utilities are on site. Additional new 800 nema 3R distribution panel) amp breaker, 120/240 volt 3 pha	ase service v	vith
5. Who presently is legal owner of building or site?	SSE3, LLC		
6. Is there a purchase option in force or other legal or If so, furnish details in a separate attachment.	r common control in the project?	YES	■ NO
Is there an existing or proposed lease for all	or a portion of the project?	YES	■ NO
7. If applicant will not occupy 100% of the building in separate sheet including: name, present address, en organization, relationship to applicant, date and terms. Is owner or tenant(s) responsible for payment of real process.	mployer fed. ID no., percentage of project to n of lease.		
	ustrial		
10. Are there any variances or special permits require	ed? If yes, please explain:	YES	■ NO
11. Will the completion of the Project result in the rem another proposed occupant of the project from one ar of the State? If yes, please explain:		YES	■ NO
12. Will the completion of the Project result in the aba facilities of the Applicant located in New York state? If		■ YES	NO

We are working on selling our current location. We are in discussion with another local business who is looking to expand. They will keep there current location and add our current location as an additional location for their business.

The new UDS Federally Inspected Meat Plant will be located at 625 Dickson Street, Endicott, NY 13760. The existing building, 10,000 square feet, will be used for administrative offices, storage and employee areas. The addition will be 9,000 square feet of refrigerated workrooms and coolers.

We will maintain all of our current product lines and all of our current customers. We will look to expand with the existing customers and add new customers once construction is approved.

The new construction will be a poured slab with sloped flooring, metal roof and walls made of insulated Galvalume, 690, stainless steel.

All of our existing equipment will be moved to the new location.

We need to expand and modernize to first maintain our quality and service to our existing customers, and then to look for new customers and develop new products to manufacture.

	A. Is the Project reasonably necessary to preserve the competitive position of the Applicant or such Project Occupant? If yes, please explain:	■ YES	NO
	At our current location we are short on space to even maintain our exafely and under UISDA rules. There is no room to expand to go aft	_	
	B. Is the Project reasonably necessary to discourage the Applicant or such Project Occupant from relocating outside of New York state? If yes, please explain:	YES	NO
	As has been stated, we are short on space to not only maintain our current business, but also N.Y. Sate is expensive to have a business operating along with a lot of regulations. Assistance maintain current operations. Bank is also looking or assistance, that we can secure, to make the same secure.	e is needed t	to grow and
	nes the Project include facilities or property that are primarily used in making retail sales of goods vices to customers who personally visit such facilities? If yes, please explain:	YES	■ NO
n suc	he answer to question 14 is yes, what percentage of the cost of the Project will be expended the facilities or property primarily used in making retail sales of goods or services somers who personally visit the Project?	N/A	%
	the answer to question 14 is yes, and the answer to question 15 is more than 33.33%, indicate to owing apply to the Project:	whether any	of
	A. Will the Project be operated by a not-for-profit corporation? If yes, please explain	YES	■ NO
	B. Will the Project likely attract a significant number of visitors from outside the economic development region in which the Project will be located? If yes, please explain:	YES	NO NO
	C. Would the Project Occupant, but for the contemplated financial assistance from The Agency, locate the related jobs outside New York state? If yes, please explain:	YES	■ NO

13. If the answer to question 11 or 12 is yes, indicate whether any of the following apply to the Project:

	ting services- \$5,000.00, Architect - \$3,960.00, Lawyer - \$7,937.02, Co on building plans - \$20,000.00, Closing costs - \$39,166.84	ontracto	r plans
20. Please such exper	e indicate amount of funds expended on this Project by the Applicant in the past three (3) year nditures:	s and the	purposes of
extent of co specific ste	onstruction work on this project begun? If yes, please discuss in detail the approximate onstruction and the extent of completion. Indicate in your answer whether such eps have been completed as site clearance and preparation, completion of s, installation of footings, etc.	YES	■ NO
Empire S	an - low interest, 3%, 10 years on equipment (coolers) state Development - Excelsior Award p to \$225,000.00 ncy - Pilot and no sales tax on material and equipment		
18. Descri	ibe the nature of the involvement of the federal, state or local agencies described above:		
city, county corporation the Project you need planning o	e indicate all other local agencies, boards, authorities, districts, commissions or governing bodies y and other political subdivision of the State of New York and all state departments, agencies, ns, public authorities or commissions) involved in approving or funding or directly undertaking it. For example, do you need a municipal building permit to undertake the Project? State His a zoning approval to undertake the Project? If so, you would list the appropriate municipal for zoning commission which would give said approvals. g permit. TUBA loan. Empire State Development. The Agency	boards, p action wi toric Prese	bublic benefit th respect to ervation? Do
N	N/A		
p	F. If the answers to any of subdivisions c. through e. of question 16 is yes, will the Project preserve permanent, private sector jobs or increase the overall number of permanent, private sector jobs in the State of New York?	YES	NO
t a ii a	development zone pursuant to Article 18-B of the General Municipal Law; or 2) a census tract or block numbering area (or census tract or block numbering area contiguous thereto) which, according to the most Recent census data, has a poverty rate of at least 20% for the year n which the data relates, or at least 20% of households receiving public assistance; and 3) an unemployment rate of at least 1.25 times the statewide unemployment rate for the year to which the data relates? If yes, please explain:	YES	■ NO

PROJECT BENEFITS/COSTS

1. NAME OF PROJECT BENEFICIARY ("APPLICANT"):

Sam A. Lupo & Sons, Inc. and SSE#, LLC

2. ESTIMATED AMOUNT OF PROJECT BENEFITS SOUGHT:

A. Amount of Bonds Sought

B. Value of Sales Tax Exemption Sought

\$ 65,600.00

C. Value of Real Property Tax Exemption Sought

Lelel, 024.04

D. Value of Mortgage Recording Tax Exemption Sought

E. Interest Savings IRB Issue

\$

3. SOURCES AND USES OF FUNDS:

Financing Sources		
Equity	\$ 100,500.00	
Local Banks	\$ 1,543,000.00	
TUBA Loan	\$ 300,000.00	
Excelsior Tax Tax Credit	\$ 225,000.00	
	\$	
	\$	
TOTAL	\$ 2,168,500.00	

Application of Funds			
Land	\$		
Building Acquisition/Construction	\$ 330,000.00		
Expansion/Renovation	\$ 1,358,500.00		
Machinery & Equipment	\$ 300,000.00		
Working Capital FF&E	\$ 60,000.00		
Other	\$ 120,000.00		
TOTAL	\$ 2,168,500.00		

The purpose of the project is to enable Lupo's to maintain current business in a safe and sanitary environment, along with Project Description:

The purpose of the project is definable Lupo's to maintain current business. If a date and some suppose of the project is definable Lupo's to maintain current business. We will add a 9,000 addition to be used for refrigerated production and coolers to USDA requirements. The existing building will be used for storage, employee areas and administration. There will be two loading docks and enough parking for all employees and our four refrigerated trucks. The building will be remodeled along with the addition to also enable us to be third party audited for food safety and quality. We also believe with the remodel, efficiency will increase.

4. PROJECTED PROJECT INVESTMENT:

A. Building and Land Related Costs C. Machinery and Equipment Costs D. Furniture and Fixture Costs	 Land acquisition Acquisition of exist Renovation of exis New construction 		\$ \$ \$ \$	330,000.00 250,000.00 1,108,500.00
E. Working Capital Costs			٨	0.00
F. Professional Services/Developmen	nt Coete		\$	0.00
1.1 Tolessional Sel vices/Developmen	Architecture and Eng	ineering	\$	25,000.00
	2. Accounting/legal	incoming .	\$	15,000.00
	3. Development Fee		\$	25,000.00
	4. Other service-related	costs (describe)	\$	55,000.00
G. Other Costs			\$	
H. Summary of Expenditures	1. Total Land-Related C	osts	\$	0.00
	2. Total Building-Related	I Costs	\$	1,688,500.00
	3. Total Machinery and Ed	quipment Costs	\$	300,000.00
	4. Total Furniture and Fi	xture Costs	\$	60,000.00
	5. Total Working Capital	Costs	\$	
	6. Total Professional Ser	vices/Development Costs	\$	65,000.00
	7. Total Other Costs		\$	55,000.00
		TOTAL PROJECT COST AGENCY FEE 1% (1% of project cost)	\$	2,168,500.00 21,685.00
		TOTAL PROJECT EXPENDITURES	\$	2,190,185.00

Have	any of th	ne above	expenditures	already	been	made	by the	applicant	?
If yes	, please	provide d	etails:						

	passananang	
YES	N	(

Consulting services- \$5,000.00, Architect - \$3,960.00, Lawyer - \$7,937.02, Contractor plans to work on building plans - \$20,000.00, Closing costs - \$39,166.84

Please list any non-financial public benefits that the project will provide:

Sam A. Lupo & Sons, Inc., is already and will continue to support our local community. We are involved in community events, on community boards, service organizations and church. Very involved with Spiedie Fest, Dick's Open and the Binghamton Rumble Ponies. We provide employment which we hope to expand. We give our employees time to be involved in the community is they wish.

PROJECTED CONSTRUCTION EMPLOYMENT IMPACT

Please provide estimates of total construction jobs at the Project:

YEAR	CONSTRUCTION JOBS (Annual wages and benefits \$40,000 and under)	CONSTRUCTION JOBS (Annual wages and benefits over \$40,000)
CURRENT		
YEAR 1	17.00	
YEAR 2		
YEAR 3		

Please provide estimates of total annual wages and benefits of total construction jobs at the project:

YEAR	TOTAL ANNUAL WAGES AND BENEFITS
CURRENT	\$
YEAR 1	\$ 653,600.00
YEAR 2	\$
YEAR 3	\$

It is the policy of The Agency/IDA to require the Applicant to use local labor, contractors and suppliers in projects that The Agency/IDA is providing financial assistance for. Please refer to the Appendix A (page 16). Local labor, contractors and suppliers shall be defined as employees and companies residing in the following Counties: Broome, Chemung, Chenango, Cortland, Delaware, Otsego, Schuyler, Steuben, Tioga, and Tompkins.

PROJECTED PERMANENT EMPLOYMENT IMPACT

PROJECTED EMPLOYMENT FIGURES - YEAR ONE	UNDER \$30,000	\$30,000 - \$50,000	\$50,000 - \$75,000	OVER \$75,000
Number of Full-Time Employees (FTE) earning	2			
Number of Part-Time Employees earning				
Total Payroll For Full-Time Employees \$	51,689.96			
Total Payroll For Part-Time Employees \$				
Total Payroll For All Employees \$	51,689.96			

PROJECTED EMPLOYMENT FIGURES - YEAR TWO	UNDER \$30,000	\$30,000 — \$50,000	\$50,000 - \$75,000	OVER \$75,000
Number of Full-Time Employees (FTE) earning	4			
Number of Part-Time Employees earning				
Total Payroll For Full-Time Employees \$	105,447.52			
Total Payroll For Part-Time Employees \$				
Total Payroll For All Employees \$	105,447.52			

PROJECTED EMPLOYMENT FIGURES - YEAR THREE	UNDER \$30,000	\$30,000 — \$50,000	\$50,000 - \$75,000	OVER \$75,000
Number of Full-Time Employees (FTE) eaming	4			
Number of Part-Time Employees eaming				
Total Payroll For Full-Time Employees \$	107,556.48			
Total Payroll For Part-Time Employees \$				
Total Payroll For All Employees \$	107,556.48			

REPRESENTATIONS BY THE APPLICANT

The Applicant understands and agrees with the Agency/IDA as follows:

- 1. **STATEMENT OF NEED:** Applicant affirms that there is a likelihood that the project would not be undertaken but for the financial assistance provided by the Agency, or if not, the applicant will provide a statement indicating the reasons the project should be undertaken by the Agency.
- 2. **JOB LISTINGS:** Except as otherwise provided by collective bargaining agreements, new employment opportunities created as a result of the Project will be listed with the New York State Department of Labor Community Services Division (the "DOC") and with the administrative entity (collectively with the DOC, the "JTPA Entities") of the service delivery area created by the federal job training partnership act (Public Law 97-300) ("JTPA") in which the Project is located.
- 3. FIRST CONSIDERATION FOR EMPLOYMENT: In accordance with Section 858-b(2) of the New York General Municipal Law, Applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency/IDA, except as otherwise provided by collective bargaining agreements, where practicable, the Applicant will first consider persons eligible to participate in JTPA programs who shall be referred by the JTPA Entities for new employment opportunities created as a result of the Project.
- 4. ANNUAL SALES TAX FILINGS: In accordance with Section 874(8) of the New York General Municipal Law, the Applicant understands and agrees that, if the Project receives any sales tax exemptions as part of the Financial Assistance from the Agency/IDA, in accordance with Section 874(8) of the General Municipal Law, the Applicant agrees to file, or cause to be filed, with the New York State Department of Taxation and Finance, the annual form prescribed by the Department of Taxation and Finance, describing the value of all sales tax exemptions claimed by the Applicant and all consultants or subcontractors retained by the Applicant.
- **5. REGULATORY COMPLIANCE:** Applicant is in substantial compliance with applicable local, state and federal tax, worker protection and environmental laws and all provisions of article 18-a of the General Municipal Law.
- 6. EMPLOYMENT: The Applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency/IDA, the Applicant agrees to file, or cause to be filed, with the Agency/IDA, on an annual basis, reports regarding the number of people employed at the Project site. The Chief Executive Office shall submit to the Agency/IDA prior to February 1 of each year, a written certification setting forth
 - · Number of full-time employees at the Project location in the preceding calendar year,
 - · Number of part-time employees at the Project location in the preceding calendar year;
 - Gross payroll of all employees at the Project location in the preceding calendar year.
- 7. **RECAPTURE POLICY:** The Agency/**IDA** reserves the right to recapture all or part of any benefits provided to the applicant if any of the following occur:
 - a. The Project Facility as defined in the PILOT/Lease Agreement is sold or closed and the Applicant leaves or departs Broome County.
 - b. There is a significant change in the use of the Project Facility and/or business activities of the Applicant.
 - c. There is a significant reduction in the number of full/part-time jobs at the Project Facility in comparison to what was estimated in the Applicant's Project Application that are not reflective of the Applicant's normal business cycle or national economic conditions.
 - d. The Applicant fails to fully comply with all periodic and/or annual reporting requirements of the Agency/IDA, State or Federal government.
 - e. The Applicant failed to achieve any minimal new job creation figures specified by and within the time-frames specified by the Agency/IDA.
 - f. Failure of the applicant to make timely PILOT payments.
 - g. Failure to cooperate with Agency personnel in providing data of project progress.
 - h. The applicant has committed a material violation of the terms & conditions of a project agreement.
 - i. The applicant has committed a material violation of the terms & conditions of the sales and use tax exemption benefit.

	eviewed from the Agency/ IDA a list of the members, officers and com. No member, officer or employee of the Agency/ IDA has an ated by this Application, execpt as hereinafter described:
Reviewd on 6/23/19, No Conflict	
9. APPARENT CONFLICTS: Has the Applicant provided any perpolitical party or elected individual in the preceding 12 months.	rsonal gifts, loans or campaign contributions to any local or State YES NO IF YES, PLEASE DESCRIBE:
Not sure if it was in the past 21 months, but Akshar at Burger Mondays, either in the 12	•
10. FEES: This Application must be submitted with a non-refu	ndable \$1,000 application fee to the Agency/IDA.
The Agency/ IDA has established a general Agency fee in the The Agency/ IDA will charge annually an administrative fee fee shall be payable January 1 of each year until all financing	of \$1,500 to cover ongoing compliance and oversight; the
	Sam A. Lupo & Sons, Inc., SSE3. LLC Applicant By:
	The: President/Partner

DOCUMENT LISTS

(A copy of this list should be provided to Applicant's legal counsel)

Please	ensure that the following items are delivered with the application:				
1. A \$1,	000 Application Fee.		YES	and the same of th	NO
2. An E.	AF (Environmental Assessment Form).		YES		NO
3. Have	financing arrangements been made ————————————————————————————————————		YES		NO
	the closing of this transaction, Applicant shall deliver the following documentation (where apagency/IDA's legal counsel:	plica	ble to	the p	roject)
1.	Insurance Certificate Certificate of Worker's Compensation Insurance (The Agency/IDA named as additional insured).		YES	And the second s	NO
	Certificate of General Liability Insurance (The Agency/ IDA named as additional insured)Limits not less than \$1,000,000 per occurrence/accident and a blanket excess liability not less than\$3,000,000.		YES		NO
	Certificate of insurance against loss/damage by fire, lightning or other casualties with a uniform standard extended coverage endorsement in an amount not less than the full—replacement value of the Facility (The Agency/IDA named as additional insured).		YES	The second secon	NO :
2.	Certificate of Incorporation/Articles of Organization together with all amendments or restatements thereto.		YES	Lanarer acts)	NO
3.	By-Laws/Operating Agreement together with any amendments thereto.		YES	h demonstrates	NO
4.	Good Standing Certificate(s) issued by the State of Incorporation/Organization of the Applicant and NYS.		YES	and the state of t	NO
5.	Resolutions of the Board of Directors/Members of the Applicant approving the Project.		YES		NO
6.	List of all Material Pending Litigation of the Applicant.		YES		NO
7.	List of all Underground Storage Tanks containing Hazardous Materials at the Project.		YES		NO
8.	List of all Required Environmental Permits for the Project.		YES		NO
9.	Legal Description of the Project Premises.		YES	The state of the s	NO
10.	Name and title of person signing on behalf of the Applicant.		YES	THE PERSON NAMED IN COLUMN	NO
11.	Copy of the proposed Mortgage (if any).		YES	The state of the s	NO
12.	Applicant's Federal Tax ID Number (EIN).		YES	America e re ma	NO
13.	Tax Map Number of Parcel(s) comprising the Project.		YES	The second secon	NO
14.	Copy of the Certificate of Occupancy (as soon as available)		YES		NO

CERTIFICATION

The information contained in this Application, including employment information, is true and correct. The Applicant is aware that any material misrepresentations made in this Application constitute an act of fraud, resulting in revocation of benefits.

The undersigned, on behalf of the Applicant, hereby certifies that the Applicant, and all parties which own a minimum of 20% of the Applicant are current and will remain current on all real property, federal, state, sales, income and withholding taxes throughout the term on any agreements made in connection with the Application.

As of the date of the Application this project is in substantial compliance with all provisions of GML Article 18-A, including but not limited to, the provisions of GML Section 859-a and GML Section 862(1) (the anti-raid provision) and if the project involves the removal or abandonment of a facility or plant within the state, notification by the IDA to the chief executive officer or officers of the municipality or municipalities in which the facility or plant was located.

Applicant has read and fully understands The Agency/IDA's Uniform Tax Exemption Policy.

Applicant hereby releases The Agency/IDA and the members, officers, servants, agents and employees thereof (hereinafter collectively referred to as the "Agency/IDA") from, agrees that the Agency/IDA shall not be liable for and agrees to indemnify, defend and hold the Agency/IDA harmless from and against any and all liability arising from or expense incurred by: (i) the Agency/IDA's examination and processing of, and action pursuant to or upon, the attached Application, regardless of whether or not the Application or the Project described therein or the issue of bonds requested therein are favorably acted upon by the Agency/IDA; and (ii) the Agency/IDA's financing of the Project described therein, including without limiting the generality of the foregoing, all causes of action and attorneys' fees and any other expenses incurred in defending any suits or actions which may arise as a result of any of the foregoing. If, for any reason, the Applicant fails to conclude or consummate necessary negotiations, or fails, within a reasonable or specified period of time, to take reasonable, proper or requested action, or withdraws, abandons, cancels or neglects the Application, or if the Agency/IDA or the Applicant are unable to find buyers willing to purchase the total bond issue requested, then, and in that event, upon presentation of an invoice itemizing the same, the Applicant shall pay to the Agency/IDA, its agents or assigns, all actual costs incurred by the Agency/IDA in the processing of the Application, including attorneys' fees, if any. By:

Sworn to before me this

H day of November, 20 19

LISA M. LEGGE Notary Public - State of New York No. 01LE6222991

Qualified in Broome County
My Commission Expires June 1, 20

(Notary Public)

APPENDIX A - ATTACHMENT TO APPLICATION FOR FINANCIAL ASSISTANCE

Local General Contractor, Subcontractor, Trades and Labor Policy

It is the goal of the The Agency/**IDA** to maximize the use of local labor for each project that receives benefits from the Agency/**IDA**. This policy applies to general contractors, subcontractors, trade professionals, and their employees. The Agency/**IDA**'s Local Labor Area consists of the following New York State counties: Broome, Chemung, Chenango, Cortland, Delaware, Otsego, Schuyler, Steuben, Tioga and Tompkins.

Every applicant is obligated to provide written proof and data (see attached ... forms) to the Agency/IDA as to the physical location of all the contractors who will work on the project.

The Agency/IDA will review the data provided and determine, on a case-by-case basis and in a fully transparent manner, whether the Applicant has substantially conformed to the policy.

An Applicant will not be deficient if the proposed project requires specifically skilled labor that is unavailable in the Local Labor Area.

An Applicant will not be deficient if the proposed project utilizes parts and supplies assembled elsewhere because no such assembly is available in the Local Labor Area.

An Applicant will be held non-compliant with the Labor Policy if it imports labor from outside the Local Labor Area when equal labor that is ready, willing, cost competitive, etc. resides in the Local Labor Area.

The Agency/IDA may determine on a case-by-case basis to waive any portion of this policy for a project or a portion of a project where consideration of warranty issues, necessity of specialized skills, significant cost differentials between local and non-local services, documented lack of workers meeting the Local Labor Requirement or if other compelling circumstances exist.

In consideration of the extension of financial assistance by the Agency/IDA_{SamA, Lupo & Sons, Inc., SSE, LLC} (the Applicant) understands the Local Labor Policy and agrees to submit either or both a Local Labor Utilization Report or a Non Local Labor Utilization Report at the time that construction begins on the project to the Agency and as part of a request to extend the valid date of the Agency/IDA's tax-exempt certificate for the 625 Dickson Street Expansion (the project).

The Applicant understands an Agency/IDA tax-exempt certificate is valid for 90 days effective the date of the project inducement and extended for 90 day periods thereafter upon request by the Applicant.

The Applicant further understands any request for a waiver to this policy must be submitted in writing and approved by the Agency/IDA before a tax-exempt certificate is issued or extended.

The Applicant further understands that if the required forms are not submitted to the Agency/**IDA**, the Agency/**IDA** shall have the authority to immediately terminate any and all Financial Assistance being provided to the Project.

I agree to the conditions of this agreement and certify all information provided regarding the construction and employment activities for the Project as of November 1, 2019 (date).

APPLICANT: Sam A. Lupo & Sons, Inc., SSE3, LLC
REPRESENTATIVE FOR CONTRACT BIDS/AWARDS: Todd Murcko, Peter A. Rotella Corporation
ADDRESS: 314 Chaumont Dr.
CITY: Endwell STATE: NY ZIP: 13760 PHONE: 607-748-5000
rotellacorp@aol.com
PROJECT ADDRESS: 625 Dickson Street Endicott, NY 13760
AUTHORIZED REPRESENTATIVE: Sam Lupo TITLE: President
SIGNATURE: LISA M. LEGGE
Notary Public - State of New York No. 01LE6222991
Qualified in Broome County My Commission Expires June 1, 20
H day of November, 2019.
Lin M Legge

The following organizations must be solicited in writing for the purpose of meeting the requirements of this Agreement:

**Documentation of solicitation MUST be provided to the Agency

The Builders Exchange of the Southern Tier, Inc. 15 Belden Street Binghamton, NY 13903 brad@bxstier.com (607) 771-7000

Binghamton/Oneonta Building Trades Council 11 Griswold Street Binghamton, NY 13904 raikens@iuoe158.org (607) 723-9593

Tompkins-Cortland Building Trades Council 622 West State Street Ithaca, NY 14850 tbrueribew241@gmail.com (607) 272-3122 Southern Tier Building Trades Council 1200 Clemens Center Parkway Elmira, NY 14901 ibew139ba@aol.com (607) 732-1237

Dodge Reports http://construction.com/dodge/submit-project.asp 830 Third Ave., 6th Floor New York, NY 10022 support@construction.com (877) 784-9556

LOCAL LABOR UTILIZATION REPORT

To be completed for all contractors residing within the Broome County IDA Local Labor Area

APPLICANT:					
PROJECT ADDRESS:		сту:		STATE:	ZIP:
EMAIL:			PHONE:		
GENERAL CONTRACTO	R/CONSTRUCTION MA	NAGER: Peter A. Ro	otella Corp.		
CONTACT: Todo	d Murko or	Jeff Rotella			
ADDRESS:	314 Chaum	ont Dr CITY:	Endwell	STATE: NY	ZIP: 13760
EMAIL: rotella	corp@aol	.com	PHONE: 607-7	748-5000	dia war a
ITEM	CONTRACT/SUB	ADDRESS	EMAIL	PHONE	AMOUNT
Site/Demo	Rotella	314 Chaumont Dr Endwell, NY 13760	rotellacorp@aol.com	607-748-5000	76,600.00
Foundation/Footings	SDC Concrete	1064 McLean Rd Owego, NY 13827	scerretani@yahoo.com	607-743-8876	219,400.00
Building	Rotella	314 Chaumont Dr Endwell, NY 13760	rotellacorp@aoi.com	607-748-5000	411,600.00
Masonry					
Metals	Rotella	314 Chaumont Dr Endwell, NY 13760	rotellacorp@aol.com	607-748-5000	2,600.00
Wood/Casework	Rotella	314 Chaumont Dr Endwell, NY 13760	rotellacorp@aol.com	607-748-5000	18,500.00
Thermal/Moisture	Rotella	314 Chaumont Dr Endwell, NY 13760	rotellacorp@aol.com	607-748-5000	48,700.00
Doors, Windows & Glazing	Rotella	314 Chaumont Dr Endwell, NY 13760	roteilacorp@aol.com	607-748-5000	60,800.00
Finishes	Rotella	314 Chaumont Dr Endwell, NY 13760	rotellacorp@aol.com	607-748-5000	62,100.00
Electrical	Panko or A.C. Spear	1080 Chenango St. Blnghamton, NY 13901	MPanko@pankoelectri	607-722-6455	245,000.00
HVAC	Heat-tek	270 Corliss Ave. Johnson City, NY 13790	Heattek09@gmail.com	607-239-0466	15,400.00
Plumbing	Heat-tek	270 Corliss Ave. Johnson City, NY 13790	Heattek09@gmail.com	607-239-0466	94,700.00
Specialties	Rotella	314 Chaumont Dr Endwell, NY 13760	rotellacorp@aol.com	607-748-5000	17,000.00
M& E					
FF & E					
Utilities					
Paving/Landscaping	Broome Bituminous	PO Box 354 Vestal, NY 13850	WRouse@broomebitu	607-729-0498	13,200.00
CHECK IF CONSTRUCTION	hoome		IS IS AN ACCURATE ACC IG AT THE PROJECT SIT		CONTRACTORS
		Company Represen	tative	Date	•

NON LOCAL LABOR UTILIZATION REPORT To be completed for all contractors not residing within the Broome County IDA Local Labor Area

PROJECT ADDRESS:	as an an airmean cuinn an t-airmean an t-airmean an Airmean An Airmean An Airmean An Airmean an Airmean an Airm	CITY:		STATE:	Z!P:
EMAIL:			PHONE:		
GENERAL CONTRACTOR	/CONSTRUCTION MANAGE	ER:			
CONTACT:				· · · · · · · · · · · · · · · · · · ·	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		A PART I		64.177	710
ADDRESS:		CITY:		STATE:	ZIP:
EMAIL:			PHONE:		
ITEM	CONTRACT/SUB	ADDRESS	EMAIL	PHONE	AMOUNT
Site/Demo		***			
undation/Footings		uman angly, agus ans san ganay anna anna anna anna anna san anna anna		Transcription of the second se	
Building	3000.00				
Masonry					
Metals					
Vood/Casework					
hermal/Moisture					
oors, Windows & Glazing					
Finishes					
Electrical					of Marks. Compression of the Com
HVAC					
Plumbing					
Specialties					
M& E	A bendander i danner hannossa skalle in research i date				
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FF&E					
FF & E Utilities		and the supplication of th			min approximate and the state of the state o





FOR SALE 625 Dickson Street

Endicott, NY



HIGHLIGHTS

- Current Kalurah Shrine Center.
- Ample parking.
- Perfect for Restaurant or Banquet hall.
- Large open banquet room/dining hall, full commercial kitchen, bar, and walk-in cooler.
- Can easily become office or flex space.

DETAILS	
ASKING	\$350,000
BLDG SIZE	10,500 SF
ZONING	Industrial
LOT SIZE	1.7 acres
TAX ID	156.16-1-5

National Pipe & Plastics - Endicott 625 Dickson Street Charles F Johnson P Our Lady of Good Counsel En-Joie Golf Club 🚱

For more information, please contact:

Ethan Cook Real Estate Salesperson +1 607-754-5990, x 311

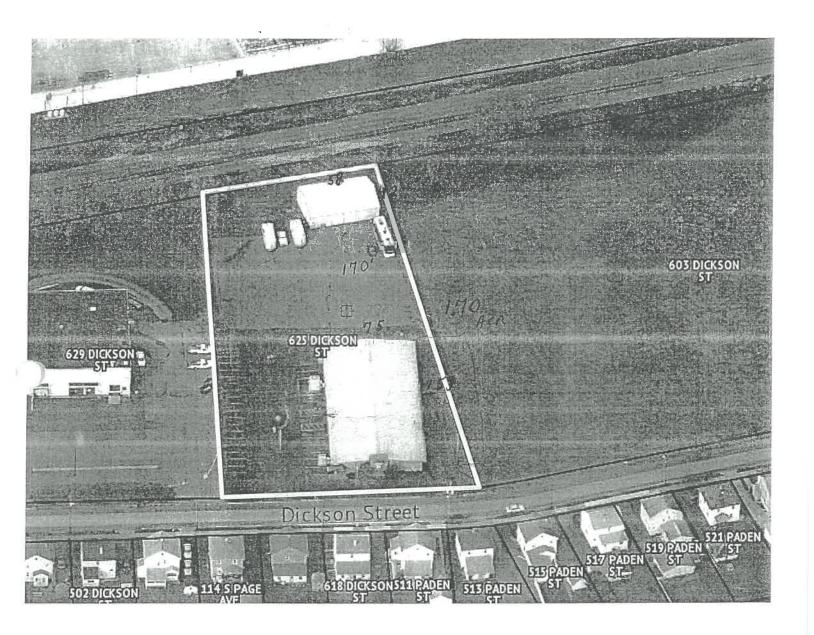
Ecook@pyramidbrokerage.com

84 Court Street, Suite 300 Binghamton, New York 13901 phone: +1:607-754 5990 pyramidbrokerage.com





FOR SALE 625 Dickson Street Endicott, NY



Ethan Gook Real Estate Salesperson +1 607-754-5990 x 311

Ecook@pyramidbrokerage.com

84 Court Street, Suite 300 Binghamton, New York 13901 phone: +1 607 754 5990 pyramidbrokerage.com

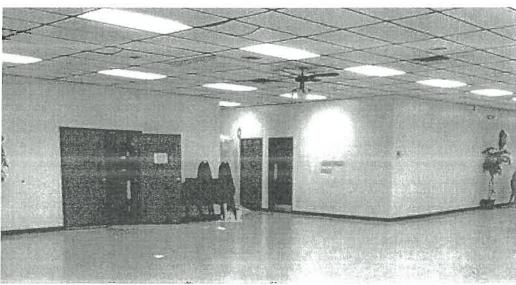


FOR SALE 625 Dickson Street Endicott, NY











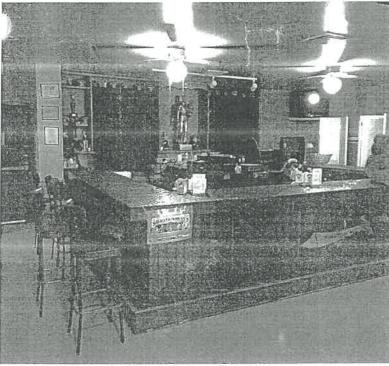


FOR SALE 625 Dickson Street

Endicott, NY



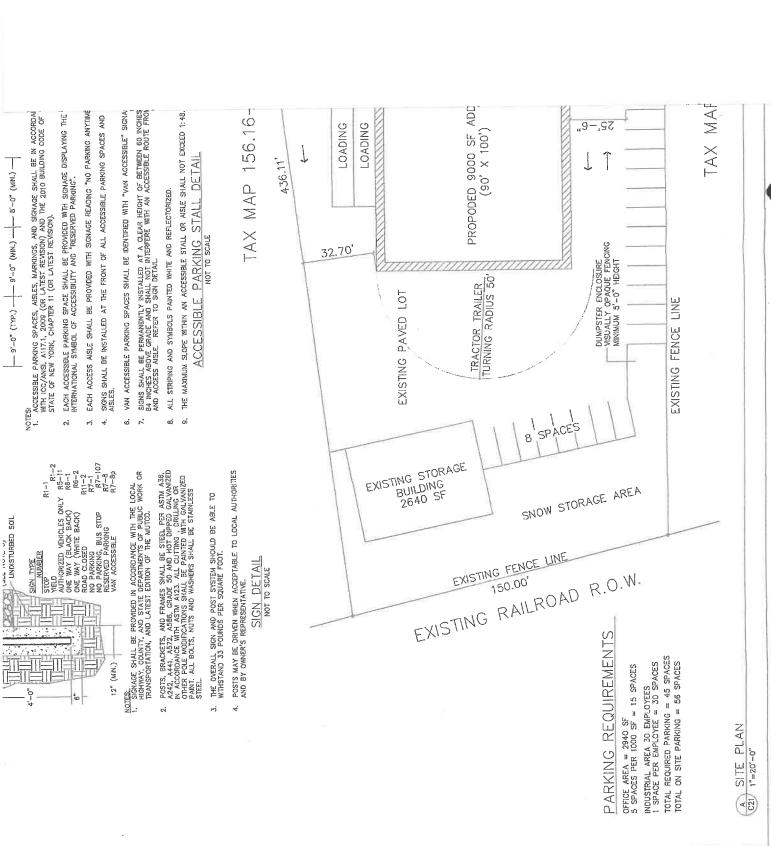






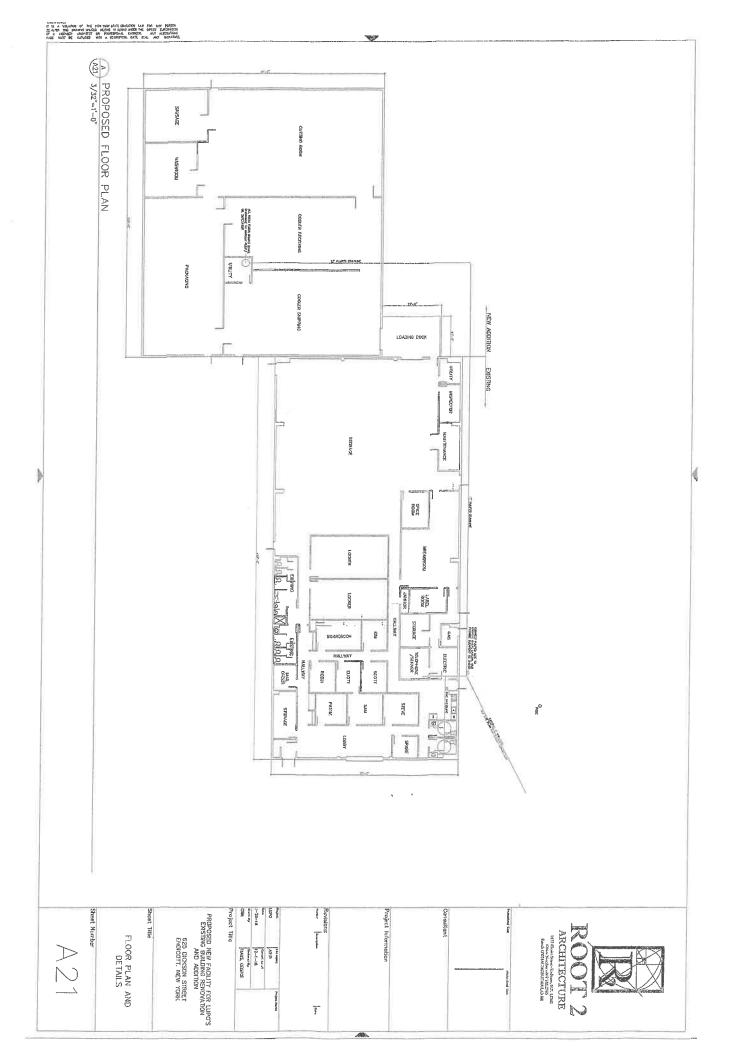
Ethan Cook Real Estate Salesperson +1 607-754-5990 × 311 Ecook@pyramidbrokerage.com

84 Court Street, Suite 300 Binghamton, New York 13901 phone: ±1 607 754 5990 pyramidbrokerage.com



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Short Environmental Assessment Form Part 1 - Project Information

Instructions for Completing

Part 1 - Project Information. The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

Part 1 - Project and Sponsor Information							
Name of Action or Project:							
SAM A. LUPO & SONS, INC. PROCESSING PLANT							
Project Location (describe, and attach a location map):							
625 DICKSON STREET, ENDIGH, N.Y. 13760							
Brief Description of Proposed Action: WE ARE PLANNING ON EXPANDING OUR OPERATION AT THE NEW LOCATION. OFFICES, EMROYEE PREA, FERAGE AND FURTHER PROCESSING OPERATION WILL BE UNDER ONE POOF. WE WILL APPROXIMATELY BE DOUBLING OF CUPRENT SIZE. THE MAIN ACTIVITY WILL BE FURTHER PROCESSING MAKING LUPO'S SPIEDIES, MARINATED ENGAST AND ACTIVITY WILL BE FURTHER PROCESSING MAKING LUPO'S SPIEDIES, MARINATED ENGAST AND ACTIVITY WILL BE FURTHER PROCESSING MAKING LUPO'S SPIEDIES, MARINATED ENGAST AND SAVENDAY NATIONAL SAVENDES. THE PROJECT WILL FIT WELL WITH THE GREEN. WE WILL USE AND MAINTAIN PIPE PAID PRASTIC, GEORGE WOUSTRIES AND TRUE GREEN. WE WILL USE AND MAINTAIN THE EXISTING BUILDING EXPANDING TOWARDS THE NORTHWEST AND BACK, BUILDING DESIGN BY APDING A METAL BUILDING WHICH WILL BE CONSISTENT WITH THE							
Name of Applicant or Sponsor: Telephone: 67-748-1(4)							
SAM & STEVE LUPO E-Mail: SAME SPIEDLES, COM	-,						
Address:							
1221 CAMPUILLE POAD POBOX 5721							
City/PO: State: Zip Code:							
ENDICOTT N.Y. 13760							
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, NO	YES						
administrative rule, or regulation?							
If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.							
2. Does the proposed action require a permit, approval or funding from any other governmental Agency?	YES						
If Yes, list agency(s) name and permit or approval:							
USDA food processing permit	V						
3.a. Total acreage of the site of the proposed action? 1.7 acres							
b. Total acreage to be physically disturbed? 0 acres							
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor? 1.7 acres							
4. Check all land uses that occur on, adjoining and near the proposed action.							
Urban Rural (non-agriculture) Industrial Commercial Residential (suburban)							
Fcrest Agriculture Aquatic Other (specify): Open Space	1						

18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)?		YES
If Yes, explain purpose and size:	V	
19. Has the site of the proposed action or an adjoining property been the location of an active or closed	NO	YES
solid waste management facility? If Yes, describe:	V	
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste?	NO	YES
If Yes, describe: 704008 - Endicott Village Landfill & Wellfield - has been capped 704038 - Endicott Area-Wide Investigation - under remediation		V
I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE KNOWLEDGE Applicant/sponsor name: Sam A. Lugo Date: 10-31-20 Signature:		F MY

Agency Use Only [If applicable]				
Project:	Conversion / Addition - 625 Dickson St			
Date:	December 11, 2018			

Short Environmental Assessment Form Part 2 - Impact Assessment

Part 2 is to be completed by the Lead Agency.

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

		No, or small impact may occur	Moderate to large impact may occur
1.	Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	V	
2.	Will the proposed action result in a change in the use or intensity of use of land?	V	
3.	Will the proposed action impair the character or quality of the existing community?	✓	
4.	Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	V	
5.	Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	V	
6.	Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	V	
7.	Will the proposed action impact existing: a. public / private water supplies?	✓	
	b. public / private wastewater treatment utilities?	V	
8.	Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	✓	
9.	Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	V	
10.	Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	V	
11.	Will the proposed action create a hazard to environmental resources or human health?	✓	