

NBT Bank
SUMMARY OF ACCOUNTS
Broome County Industrial Development Agency
December 31, 2016

| | Ending Balance <u>12/31/2016</u> | Interest Paid <u>Year-to-Date</u> |
|--|---|--|
| STEED MMKT ACCOUNT | | |
| Government Money Market - xxxxxxxx7088 | \$ 548,610.84 | \$ 86.51 |
| LINK RENTAL ACCOUNT | | |
| Government Money Market - xxxxxxxx7061 | \$ 1,359,113.39 | \$ 538.45 |
| BUSINESS DEVELOPMENT FUNDS | | |
| Government Money Market - xxxxxxxx7096 | \$ 496,571.58 | \$ 91.89 |
| TRANSITION ACCOUNT | | |
| Government Money Market - xxxxxxxx7150 | <u>\$ 3,418.05</u> | <u>\$ 6.74</u> |
| | \$ 2,407,713.86 | \$ 723.59 |

NBT Financial Group
PORTFOLIO APPRAISAL
Broome Co Industrial Development Agy

December 31, 2016

| Quantity | Security | Unit Cost | Total Cost | Price | Market Value | Pct. Assets | Unit Income | Annual Income | Cur. Yield |
|--|--|-----------|------------|--------|--------------|-------------|-------------|---------------|------------|
| CASH AND EQUIVALENTS | | | | | | | | | |
| | Income Cash | | 2,315.63 | | 2,315.63 | 0.1 | 0.000 | 0.00 | 0.0 |
| | | | 2,315.63 | | 2,315.63 | 0.1 | | 0.00 | 0.0 |
| U.S. TREASURY BONDS & NOTES | | | | | | | | | |
| 300,000 | U.S. TREASURY NOTES 1.000% Due 03-31-17 | 100.11 | 300,328.93 | 100.12 | 300,372.00 | 6.7 | 1.000 | 3,000.00 | 1.0 |
| 200,000 | U.S. TREASURY NOTES 0.875% Due 05-15-17 | 100.11 | 200,227.81 | 100.10 | 200,204.00 | 4.5 | 0.875 | 1,750.00 | 0.9 |
| 250,000 | U.S. TREASURY NOTES 0.875% Due 06-15-17 | 100.10 | 250,247.60 | 100.12 | 250,292.50 | 5.6 | 0.875 | 2,187.50 | 0.9 |
| 50,000 | U.S. TREASURY NOTES 0.875% Due 07-15-17 | 99.94 | 49,968.50 | 100.09 | 50,045.00 | 1.1 | 0.875 | 437.50 | 0.9 |
| 275,000 | U.S. TREASURY NOTES 0.875% Due 08-15-17 | 100.13 | 275,370.26 | 100.09 | 275,258.50 | 6.2 | 0.875 | 2,406.25 | 0.9 |
| 300,000 | U.S. TREASURY NOTES 1.000% Due 09-15-17 | 100.03 | 300,087.70 | 100.16 | 300,468.00 | 6.7 | 1.000 | 3,000.00 | 1.0 |
| 300,000 | U.S. TREASURY NOTES 0.875% Due 10-15-17 | 100.04 | 300,130.48 | 100.02 | 300,048.00 | 6.7 | 0.875 | 2,625.00 | 0.9 |
| 250,000 | U.S. TREASURY NOTES 1.000% Due 12-15-17 | 100.02 | 250,042.24 | 100.11 | 250,265.00 | 5.6 | 1.000 | 2,500.00 | 1.0 |
| 250,000 | U.S. TREASURY NOTES 1.000% Due 05-31-18 | 99.47 | 248,671.88 | 99.96 | 249,902.50 | 5.6 | 1.000 | 2,500.00 | 1.0 |
| 250,000 | U.S. TREASURY NOTES 1.000% Due 08-15-18 | 100.03 | 250,080.71 | 99.87 | 249,667.50 | 5.6 | 1.000 | 2,500.00 | 1.0 |
| 200,000 | U.S. TREASURY NOTES 1.250% Due 11-30-18 | 99.68 | 199,359.38 | 100.15 | 200,290.00 | 4.5 | 1.250 | 2,500.00 | 1.2 |
| 250,000 | U.S. TREASURY NOTES 1.625% Due 04-30-19 | 100.45 | 251,133.15 | 100.78 | 251,952.50 | 5.6 | 1.625 | 4,062.50 | 1.6 |
| 200,000 | U.S. TREASURY NOTES 1.000% Due 08-31-19 | 97.74 | 195,484.38 | 99.06 | 198,118.00 | 4.4 | 1.000 | 2,000.00 | 1.0 |
| 250,000 | U.S. TREASURY NOTES 1.250% Due 10-31-19 | 98.71 | 246,777.35 | 99.57 | 248,935.00 | 5.6 | 1.250 | 3,125.00 | 1.3 |
| 285,000 | U.S. TREASURY NOTES 1.625% Due 12-31-19 | 100.18 | 285,519.06 | 100.49 | 286,402.20 | 6.4 | 1.625 | 4,631.25 | 1.6 |
| 250,000 | U.S. TREASURY NOTES 1.375% Due 01-31-20 | 99.03 | 247,578.13 | 99.62 | 249,052.50 | 5.6 | 1.375 | 3,437.50 | 1.4 |

NBT Financial Group
PORTFOLIO APPRAISAL
Broome Co Industrial Development Agy

December 31, 2016

| Quantity | Security | Unit Cost | Total Cost | Price | Market Value | Pct. Assets | Unit Income | Annual Income | Cur. Yield |
|------------------------|--|-----------|---------------------|-------|---------------------|--------------|-------------|------------------|------------|
| 296,000 | U.S. TREASURY NOTES 1.375% Due 09-30-20 | 100.22 | 296,638.62 | 98.87 | 292,646.32 | 6.5 | 1.375 | 4,070.00 | 1.4 |
| 313,000 | U.S. TREASURY NOTES 1.125% Due 09-30-21 | 99.71 | 312,080.37 | 96.46 | 301,910.41 | 6.8 | 1.125 | 3,521.25 | 1.2 |
| | Accrued Interest | | | | 10,466.39 | 0.2 | | | |
| | | | 4,459,726.55 | | 4,466,296.32 | 99.9 | | 50,253.75 | 1.1 |
| TOTAL PORTFOLIO | | | 4,462,042.18 | | 4,468,611.95 | 100.0 | | 50,253.75 | 1.1 |

NBT Financial Group
INCOME AND EXPENSES
Broome Co Industrial Development Agy

From 01-01-16 Through 12-31-16

| <u>Ex-Date</u> | <u>Pay-Date</u> | <u>Security</u> | <u>Amount</u> |
|--|-----------------|---|---------------|
| CDs & TIME DEPOSITS | | | |
| 01-22-16 | 01-22-16 | BANK OF BARODA CD 0.550% Due 01-22-16 | 1,371.23 |
| 01-28-16 | 01-28-16 | SANTANDER BK NATL CD 0.500% Due 01-28-16 | 1,250.00 |
| 02-17-16 | 02-17-16 | BANK OF INDIA NY CD 0.600% Due 02-17-16 | 1,487.67 |
| | | | 4,108.90 |
| U.S. TREASURY BONDS & NOTES | | | |
| 01-15-16 | 01-15-16 | U.S. TREASURY NOTES 0.875% Due 07-15-17 | 218.75 |
| 01-25-16 | 01-26-16 | U.S. TREASURY NOTES 1.000% Due 08-15-18 | -1,114.13 |
| 01-31-16 | 01-31-16 | U.S. TREASURY NOTES 1.375% Due 01-31-20 | 1,718.75 |
| 02-15-16 | 02-15-16 | U.S. TREASURY NOTES 0.875% Due 08-15-17 | 1,203.13 |
| 02-15-16 | 02-15-16 | U.S. TREASURY NOTES 1.000% Due 08-15-18 | 1,250.00 |
| 02-29-16 | 02-29-16 | U.S. TREASURY NOTES 1.000% Due 08-31-19 | 1,000.00 |
| 03-15-16 | 03-15-16 | U.S. TREASURY NOTES 1.000% Due 09-15-17 | 1,500.00 |
| 03-31-16 | 03-31-16 | U.S. TREASURY NOTES 1.375% Due 09-30-20 | 1,925.00 |
| 03-31-16 | 03-31-16 | U.S. TREASURY NOTES 1.000% Due 09-30-16 | 1,500.00 |
| 03-31-16 | 03-31-16 | U.S. TREASURY NOTES 1.000% Due 03-31-17 | 1,500.00 |
| 04-15-16 | 04-15-16 | U.S. TREASURY NOTES 0.875% Due 10-15-17 | 1,312.50 |
| 04-30-16 | 04-30-16 | U.S. TREASURY NOTES 1.625% Due 04-30-19 | 2,031.25 |
| 04-30-16 | 04-30-16 | U.S. TREASURY NOTES 1.250% Due 10-31-19 | 1,562.50 |
| 05-10-16 | 05-11-16 | U.S. TREASURY NOTES 1.375% Due 09-30-20 | -12.32 |
| 05-15-16 | 05-15-16 | U.S. TREASURY NOTES 0.875% Due 05-15-17 | 875.00 |
| 05-31-16 | 05-31-16 | U.S. TREASURY NOTES 1.250% Due 11-30-18 | 1,250.00 |
| 05-31-16 | 05-31-16 | U.S. TREASURY NOTES 0.875% Due 11-30-16 | 1,312.50 |

NBT Financial Group
INCOME AND EXPENSES
Broome Co Industrial Development Agy

From 01-01-16 Through 12-31-16

| <u>Ex-Date</u> | <u>Pay-Date</u> | <u>Security</u> | <u>Amount</u> |
|----------------|-----------------|--|---------------|
| 05-31-16 | 05-31-16 | U.S. TREASURY NOTES 1.000% Due 05-31-18 | 1,250.00 |
| 06-03-16 | 06-06-16 | U.S. TREASURY NOTES 1.375% Due 09-30-20 | -20.14 |
| 06-15-16 | 06-15-16 | U.S. TREASURY NOTES 1.000% Due 12-15-17 | 1,250.00 |
| 06-15-16 | 06-15-16 | U.S. TREASURY NOTES 0.875% Due 06-15-17 | 1,093.75 |
| 06-30-16 | 06-30-16 | U.S. TREASURY NOTES 1.625% Due 12-31-19 | 2,315.63 |
| 07-15-16 | 07-15-16 | U.S. TREASURY NOTES 0.875% Due 07-15-17 | 218.75 |
| 07-31-16 | 07-31-16 | U.S. TREASURY NOTES 1.375% Due 01-31-20 | 1,718.75 |
| 08-15-16 | 08-15-16 | U.S. TREASURY NOTES 0.875% Due 08-15-17 | 1,203.13 |
| 08-15-16 | 08-15-16 | U.S. TREASURY NOTES 1.000% Due 08-15-18 | 1,250.00 |
| 08-31-16 | 08-31-16 | U.S. TREASURY NOTES 1.000% Due 08-31-19 | 1,000.00 |
| 09-15-16 | 09-15-16 | U.S. TREASURY NOTES 1.000% Due 09-15-17 | 1,500.00 |
| 09-30-16 | 09-30-16 | U.S. TREASURY NOTES 1.375% Due 09-30-20 | 2,035.00 |
| 09-30-16 | 09-30-16 | U.S. TREASURY NOTES 1.000% Due 09-30-16 | 1,500.00 |
| 09-30-16 | 09-30-16 | U.S. TREASURY NOTES 1.000% Due 03-31-17 | 1,500.00 |
| 10-03-16 | 10-04-16 | U.S. TREASURY NOTES 1.125% Due 09-30-21 | -37.09 |
| 10-15-16 | 10-15-16 | U.S. TREASURY NOTES 0.875% Due 10-15-17 | 1,312.50 |
| 10-31-16 | 10-31-16 | U.S. TREASURY NOTES 1.625% Due 04-30-19 | 2,031.25 |
| 10-31-16 | 10-31-16 | U.S. TREASURY NOTES 1.250% Due 10-31-19 | 1,562.50 |
| 11-09-16 | 11-10-16 | U.S. TREASURY NOTES 1.125% Due 09-30-21 | -16.47 |
| 11-15-16 | 11-15-16 | U.S. TREASURY NOTES 0.875% Due 05-15-17 | 875.00 |
| 11-30-16 | 11-30-16 | U.S. TREASURY NOTES 1.250% Due 11-30-18 | 1,250.00 |
| 11-30-16 | 11-30-16 | U.S. TREASURY NOTES 0.875% Due 11-30-16 | 1,312.50 |

NBT Financial Group
INCOME AND EXPENSES
Broome Co Industrial Development Agy

From 01-01-16 Through 12-31-16

| Ex-Date | Pay-Date | Security | Amount |
|---|-----------------|--|------------------|
| 11-30-16 | 11-30-16 | U.S. TREASURY NOTES 1.000% Due 05-31-18 | 1,250.00 |
| 12-15-16 | 12-15-16 | U.S. TREASURY NOTES 1.000% Due 12-15-17 | 1,250.00 |
| 12-15-16 | 12-15-16 | U.S. TREASURY NOTES 0.875% Due 06-15-17 | 1,093.75 |
| 12-31-16 | 12-31-16 | U.S. TREASURY NOTES 1.625% Due 12-31-19 | 2,315.63 |
| | | | 51,047.37 |
| AFTER FEE PERFORMANCE EXPENSE ACCOUNTS | | | |
| 02-22-16 | 02-22-16 | Investment Management Fee | 3,265.17 |
| 04-20-16 | 04-20-16 | Investment Management Fee | 2,982.11 |
| 08-22-16 | 08-22-16 | Investment Management Fee | 3,001.17 |
| 10-25-16 | 10-25-16 | Investment Management Fee | 2,803.64 |
| | | | 12,052.09 |
| NET INCOME | | | 43,104.18 |